

MEETING: AUDIT AND GOVERNANCE COMMITTEE

DATE: Wednesday 15th December, 2010

TIME: 3.00 pm

VENUE: Town Hall, Bootle

**Member**

Councillor  
Brady (Chair)  
Friel (Vice-Chair)  
Brennan  
Lord Fearn  
Maher  
Mclvor  
Parry (Spokesperson)  
Robertson  
Shaw (Spokesperson)  
Tonkiss

**Substitute**

Councillor  
Tweed  
McGinnity  
Mahon  
Byrne  
Fairclough  
Sir Ron Watson  
Porter  
Brodie - Browne  
C Mainey  
S Mainey

COMMITTEE OFFICER: Steve Pearce  
Head of Committee and Member Services  
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**If you have any special needs that may require arrangements to facilitate your attendance at this meeting, please contact the Committee Officer named above, who will endeavour to assist.**

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# AGENDA

- 1. Apologies for absence**
- 2. Declarations of Interest**

Members and Officers are requested to give notice of any personal or prejudicial interest and the nature of that interest, relating to any item on the agenda in accordance with the relevant Code of Conduct.
- 3. Minutes of Previous Meeting** (Pages 5 - 10)

Minutes of the meeting held on 29 September 2010
- 4. External Audit - Annual Audit Letter 2009/10** (Pages 11 - 22)

Report of the External Auditors, PricewaterhouseCoopers
- 5. External Audit - Annual Audit Plan 2010/11** (Pages 23 - 50)

Report of the External Auditors, PricewaterhouseCoopers
- 6. Treasury Management 2010/11 - Mid Year Review** (Pages 51 - 64)

Report of the Interim Head of Corporate Finance and ICT Strategy
- 7. Exclusion of Press and Public**

To consider passing the following resolution:

That, under Section 100A(4) of the Local Government Act, 1972, the press and public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12A to the Act. The Public Interest Test has been applied and favours exclusion of the information from the Press and Public.
- 8. Internal Audit Plan 2010/11 Performance Report - April to November 2010** (Pages 65 - 82)

Report of the Interim Head of Corporate Finance and ICT Strategy
- 9. Internal Audit Fraud Report** (Pages 83 - 88)

Report of the Interim Head of Corporate Finance and ICT Strategy

**10. Corporate Risk Register - Update**

(Pages 89 -  
104)

Report of the Interim Head of Corporate Finance and ICT  
Strategy